



**CÔNG TY CỔ PHẦN
CHỨNG KHOÁN BIDV
BIDV SECURITIES JSC**
Số/No.: 1084/BSC-CBTT

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
THE SOCIALIST REPUBLIC OF VIET NAM**
Độc lập – Tự do – Hạnh phúc
Independence - Freedom - Happiness
Hà Nội, ngày 30 tháng 10 năm 2026
Ha Noi, October 30, 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi:

- Ủy ban Chứng khoán Nhà nước;
- Sở Giao dịch Chứng khoán Việt Nam;
- Sở Giao dịch Chứng khoán TP HCM;
- Sở Giao dịch Chứng khoán Hà Nội.

To:

- State Securities Commission of Viet Nam;
- Vietnam Exchange;
- Ho Chi Minh Stock Exchange;
- Hanoi Stock Exchange.

1. Tên tổ chức/Name of organization: Công ty Cổ phần Chứng khoán BIDV/
BIDV Securities Joint Stock Company

- Mã chứng khoán/Mã thành viên/Stock code/Broker code: BSI
- Địa chỉ/Address: Tầng 8, Tầng 9 LPB Tower, số 210 Trần Quang Khải, phường Hoàn Kiếm, Thành phố Hà Nội/ 8th Floor, 9th Floor, LPB Tower, No. 210 Tran Quang Khai, Hoan Kiem Ward, Hanoi City.
- Điện thoại/Tel: 024.39352722 Fax: 024.33816699 Email: ir@bsc.com.vn

2. Nội dung thông tin công bố/Contents of disclosure:

Công ty Cổ phần Chứng khoán BIDV công bố thông tin Nghị quyết ĐHĐCĐ số: 02/NQ-ĐHĐCĐ ngày 30/10/2026 và Biên bản kiểm phiếu lấy ý kiến cổ đông bằng văn bản số: 02/BB-ĐHĐCĐ ngày 30/10/2026 như đính kèm.

BIDV Securities Joint Stock Company discloses Information on General Meeting of Shareholders' Resolution No.: 02/NQ-DHDCD date October 30, 2026 and the Minutes of Vote Counting for Shareholders' Written Opinions No.: 02/BB-DHDCD date October 30, 2026 as attached.

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 30/10/2026 tại đường dẫn: <https://www.bsc.com.vn/quan-he-co-dong>.

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This information was published on the company's website on October 30 , 2026, as in the link: <https://www.bsc.com.vn/quan-he-co-dong>.

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/*We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

Tài liệu đính kèm/ Attached documents:

- Văn bản liên quan đến nội dung công bố thông tin/ *Documents related to the content of disclosure.*

**NGƯỜI ĐƯỢC ỦY QUYỀN CBTT
PERSON AUTHORIZED TO DISCLOSE**



XUÂN QUANG TOÀN



**BIDV SECURITIES
JOINT STOCK COMPANY**

No. 02/NQ-DHDCD

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom – Happiness

Ha Noi, October 30, 2026

RESOLUTION
**THE GENERAL MEETING OF SHAREHOLDERS
OF BIDV SECURITIES JOINT STOCK COMPANY**

**GENERAL MEETING OF SHAREHOLDERS
OF BIDV SECURITIES JOINT STOCK COMPANY**

Pursuant to the Law on Enterprises No. 59/2020/QH14 dated 17/06/2020 of the National Assembly of the Socialist Republic of Vietnam and its amended and supplemented documents;

Pursuant to the Law on Securities No. 54/2019/QH14 dated 26/11/2020 of the National Assembly of the Socialist Republic of Vietnam and its amended and supplemented documents;

Pursuant to the Charter of BIDV Securities Joint Stock Company (BSC);

Pursuant to Resolution No. 588/NQ-BSC dated 29/09/2026 of the Board of Directors (BOD) of BSC approving the plan to obtain shareholders' written opinions;

Pursuant to the Minutes of Vote Counting Results for obtaining shareholders' written opinions No. 02/BB-DHDCD dated October 30, 2026 of BIDV Securities Joint Stock Company,

HEREBY RESOLVES:

Article 1. Approval of the provision of securities clearing and settlement services under the central counterparty (CCP) mechanism as set out in the Proposal to the General Meeting of Shareholders No. 1009/TTr-HDQT dated October 12, 2026.

Article 2. Authorization to the Board of Directors:

2.1. To organize the implementation and decide on matters related to applying for a license to provide securities clearing and settlement services and a license to become a clearing member with the State Securities Commission, the Vietnam Securities Depository and Clearing Corporation and competent state authorities, including but not limited to signing and finalizing dossiers and documents related to applying for a license to provide services and a license to become a clearing member

to be submitted to the State Securities Commission, the Vietnam Securities Depository and Clearing Corporation and competent state authorities;

2.2. Based on BSC's operational situation, to decide on the selection and change of the type of clearing member (direct clearing member or general clearing member) in accordance with legal regulations;

2.3. To decide on the contribution levels and asset contributions to the Payment Support Fund, the Clearing Fund, the Operational Risk Fund and to perform other financial obligations in accordance with legal regulations and those of VSDC;

2.4. To organize the implementation, decide on and perform other works related to the provision of securities clearing and settlement services at BSC;

2.5. Within the scope of authorization, the Board of Directors may re-authorize the Chairman of the BOD and/or the General Director of BSC to perform the above works.

Article 3. Implementation provisions

1. This Resolution is made in 02 (two) originals, comprising 02 (two) pages, and has been unanimously approved by the General Meeting of Shareholders of BIDV Securities Joint Stock Company in the form of obtaining shareholders' written opinions.

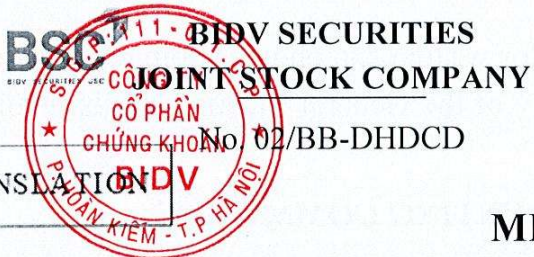
2. This Resolution shall take effect from October 30, 2026.

3. The Board of Directors, the Board of Supervisors and the Board of Management shall be responsible for implementing this Resolution and organizing its implementation in accordance with the provisions of law and the Charter of BIDV Securities Joint Stock Company.

**O/B OF THE GENERAL MEETING OF
SHAREHOLDERS
CHAIRMAN OF THE BOD**

(signed)

Ngo Van Dung



SOCIALIST REPUBLIC OF VIETNAM

Independence - Freedom – Happiness

Ha Noi, October 30, 2026

TRANSLATION

MINUTES

OF VOTE COUNTING FOR SHAREHOLDERS' WRITTEN OPINION BIDV SECURITIES JOINT STOCK COMPANY

Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 of the National Assembly of the Socialist Republic of Viet Nam, as amended and supplemented from time to time;

Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 of the National Assembly of the Socialist Republic of Viet Nam, as amended and supplemented from time to time;

Pursuant to the Charter of BIDV Securities Joint Stock Company (BSC);

Pursuant to Resolution No. 588/NQ-BSC dated September 29, 2026 of the Board of Directors (BOD) approval the plan to organize collecting shareholders written opinions;

Pursuant to Decision No. 600/QD-BSC dated October 12, 2026 of the BSC's BOD approval of the documents for collecting shareholders' opinions;

Pursuant to Decision No. 601/QD-BSC dated October 12, 2026 of the BSC's BOD establishing the Vote Counting Committee for counting the written ballot of shareholder;

Pursuant to Decision No. 604/QD-BKS dated October 14, 2026 of the BSC's Board of Supervisors re: Appoint personnel to supervise the shareholders' written ballot counting;

Pursuant to General Meeting of Shareholders Proposal No. 1009/TTr-HDQT dated October 12, 2026 of the BSC's BOD on approval of the provision of securities transaction clearing and settlement services under the central counterparty (CCP) mechanism.

Today, at 09h 00' min, on October 30, 2026, at BIDV Securities Joint Stock Company (Head office: 8th Floor and 9th Floor, LPB Tower, No. 210 Tran Quang Khai Street, Hoan Kiem Ward, Ha Noi; Enterprise Code No. 0101003060), the BSC Board of Directors, under the supervision of representatives of the BSC Board of

Supervisors, conducted the vote counting for written shareholder opinion in order to approve certain matters within the authority of the General Meeting of Shareholders (GMS), as follows:

I. MEMBERS OF THE VOTE COUNTING COMMITTEE

The Vote Counting Committee comprises the following members:

1. Mr. Ngo Van Dung – Chairman of the BOD – Head of the Vote Counting Committee;
2. Mr. Chung Jae Hoon – Vice Chairman of the BOD cum Deputy General Director – Member;
3. Mr. Nguyen Duy Vien – Member of the BOD cum General Director – Member;
4. Ms. Nguyen Thi Quynh Anh – Member of the BOD – Member;
5. Mr. Xuan Quang Toan – Person in Charge of Corporate Governance – Member.

BOS members witnessing and supervising the vote-counting process:

1. Ms. Pham Thanh Thuy – Head of the Board of Supervisors
2. Ms. Vu Minh Chau – Member of the Board of Supervisors

Recorder of the Vote Counting Minutes: Mr. Do Van Son – Company Secretary.

II. PURPOSE AND CONTENT OF THE SHAREHOLDERS' WRITTEN OPINION COLLECTING

1. Purpose of the opinion collecting

Collecting shareholders' written opinions to approve of the provision of securities transaction clearing and settlement services under the central counterparty (CCP) mechanism.

2. Content of the opinion collecting

Approval of the provision of securities transaction clearing and settlement services under the central counterparty (CCP) mechanism, as set out in General Meeting of Shareholders Proposal No. 1009/TTr-HDQT dated October 12, 2026 published on the BSC website.

III. VOTE COUNTING RESULTS

1. Principles of vote counting

- A valid written consultation ballot is a ballot marked “√” or “X” in one (01) of the three (03) options;

- A written consultation ballot is invalid in the following cases: (1) the ballot is not in the form issued by BSC or does not bear BSC’s confirmation seal; (2) two or more boxes are marked for a matter submitted for voting opinion, in which case the vote on that matter is invalid; (3) the ballot does not bear a signature (where the shareholder is an individual), or the signature of the legal representative and seal, where applicable (where the shareholder is an organization); (4) the envelope has been opened before vote counting; (5) the ballot is returned to BSC after the prescribed deadline; (6) the ballot has been altered or marked with additional symbols; or (7) where a shareholder authorizes another person to exercise voting rights, the ballot is not accompanied by a valid power of attorney (the original or a duly notarized/certified true copy issued by a competent authority) submitted to BSC;

- A ballot is deemed to contain no opinion where none of the three (03) boxes (Approve, Disapprove, No opinion) for a matter submitted for consultation is marked, but the shareholder has signed, stated his/her full name and affixed a seal, where applicable (if an organization) to this document shall be deemed to have No opinion;

- A non-voting ballot is a ballot that is not returned to BSC;

- Members of the BOD, vote counters and vote-counting supervisors shall be jointly responsible for the truthfulness and accuracy of these Vote Counting Minutes, and for any loss arising from resolutions adopted as a result of dishonest or inaccurate vote counting.

2. Vote counting process:

a. Record date for collecting shareholders' written opinions: October 09, 2026.

b. Total number of shareholders (including beneficial owners and representatives of capital) as of the record date: 9,857 shareholders.

c. Voting rights allocation ratio: one (01) share is equivalent to one (01) voting right.

d. Total number of shares have the voting right: 269,901,549 shares, corresponding to 269,901,549 votes.

e. Total number of written opinion collecting ballots issued: 9,857 ballots, corresponding to 269,901,549 votes.

f. Total number of written opinion collecting ballots returned to BSC by the end of October 26, 2026: 10 ballots, corresponding to 10 shareholders participating in the voting with 235,023,019 votes (details are provided in the appendix listing the shareholders participating in the vote attached to this Minutes), of which:

(1) Number of valid ballots: 07 ballots, equivalent to 234,947,543 votes

(2) Number of invalid ballots: 03 ballots, equivalent to 75,476 votes

g. Total number of ballots not returned to BSC by the end of October 26, 2026: 9,847 ballots. Under the voting regulations, these ballots are deemed not to have participated in the vote, corresponding to 9,847 shareholders and 34,878,530 votes.

h. Method of returning ballots: by secured mail in accordance with applicable law and the Company Charter.

3. Vote counting results based on the voting rights of shareholders

Matter: Approval of the provision of securities transaction clearing and settlement services under the central counterparty (CCP) mechanism, as set out in General Meeting of Shareholders Proposal No. 1009/TTr-HDQT dated October 12, 2026 published on the BSC website.

Voting results: Total number of vote: 269,901,549 votes, representing 269,901,549 voting shares. Total number of approving votes: 234,947,543 votes, representing 87.05%; total number of disapproving votes: 0 votes, representing 0%; total number of no-opinion votes: 34,878,530 votes, representing 12.92%.

Accordingly, the percentage of approving votes for the above matter at the BSC General Meeting of Shareholders is 87.05%.

IV. MATTERS APPROVED BY GMS

Pursuant to applicable law, the Company Charter and the vote counting results set out in Section III above, the General Meeting of Shareholders approving the following matter:

1. Approval of the provision of securities clearing and settlement services under the central counterparty (CCP) mechanism, as set out in GMS Proposal No. 1009/TTr-HDQT dated October 12, 2026.

2. Authorization to the Board of Directors:

2.1. To organize the implementation and decide on matters related to applying for a license to provide securities clearing and settlement services and a license to become a clearing member at the SSC, VSDC and competent state authorities, including but not limited to signing and finalizing documents and materials related to

the application for a license to provide services and a license to become a clearing member submitted to the SSC, VSDC and competent state authorities;

2.2. Based on BSC's operational situation, to decide on the selection and change of the type of clearing member (direct clearing member or general clearing member) in accordance with legal regulations ;

2.3. To decide on the contribution levels and asset contributions to the Payment Support Fund, the Clearing Fund, the Professional Risk Fund and to perform other financial obligations in accordance with legal regulations and VSDC's regulations;

2.4. To organize the implementation, decide on and perform other works related to the provision of securities clearing and settlement services at BSC ;

2.5. Within the scope of authorization, the Board of Directors may re-authorize the Chairman of the Board of Directors and/or the General Director of BSC to perform the above works .

V. CONCLUSION

1. The vote counting was completed at 10h 30' on October 30, 2026.

2. This Minutes were made in two (02) originals of equal legal validity at 10h 30' on October 30, 2026 and filed at BIDV Securities Joint Stock Company./.

VOTE COUNTING COMMITTEE:

**HEAD OF THE VOTE COUNTING COMMITTEE
CHAIRMAN OF THE BOARD OF DIRECTORS**

(signed)

Ngo Van Dung

**VICE CHAIRMAN OF THE BOD,
DEPUTY GENERAL DIRECTOR**

(signed)

Chung Jae Hoon

**MEMBER OF THE BOD,
GENERAL DIRECTOR**

(signed)

Nguyen Duy Vien

MEMBER OF THE BOD

(signed)

Nguyen Thi Quynh Anh

**PERSON IN CHARGE OF
CORPORATE GOVERNANCE**

(signed)

Xuan Quang Toan

VOTE COUNTING SUPERVISOR:

HEAD OF THE BOS

(signed)

Pham Thanh Thuy

MEMBER OF THE BOS

(signed)

Vu Minh Chau

RECORDER:

COMPANY SECRETARY

(signed)

Do Van Son

APPENDIX: LIST OF SHAREHOLDERS PARTICIPATING IN THE VOTE

*(Attached to the Minutes of Vote Counting for Written Shareholder Opinions
No. 02/BB-DHDCD dated October 30, 2026 of BSC)*

1. Number of valid ballots:

No.	Name of shareholder	Number of shares
A	ORGANIZATIONAL SHAREHOLDERS	
1	Joint Stock Commercial Bank for Investment and Development of Viet Nam	140,267,914
2	HANA SECURITIES CO., LTD	94,485,618
3	VIETNAM STOCK INDEX MOTHER FUND	41,800
B	INDIVIDUAL SHAREHOLDERS	
4	Nguyen Cong Ho	120
5	Huynh Nam Hung	11
6	Do Vinh An	22,080
7	Nguyen Viet Hung	130,000
	TOTAL	234,947,543

2. Number of invalid ballots:

No.	Name of shareholder	Number of shares
1	Luong Thi Chuyen	4,390
2	Nguyen Duc Luan	10,936
3	Nguyen Van Nam	60,150
	TOTAL	75,476

